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# Significance of the Japan-India Partnership for East Asian Regionalism

"I believe the time has come for our two ancient civilizations to build a strong contemporary relationship involving strategic and global partnership that will have a great significance for Asia and I believe for the world as a whole"

Prime Minister of India Manmohan Singh, Address to the Joint Session of the Japanese Diet, 15 December 2006

The Japanese partnership with India is of particular significance regarding the construction of an East Asian Community. This nascent relationship between "the most developed and the largest Asian democracies"<sup>1</sup>, grounded on a rich historical legacy of contributions toward East Asian regionalism, has the ambition to play a central role in the current regional construction.

The rapprochement between Japan and India since 2000 mainly results from the evolution of the geopolitical context: the rise of China and the new strategic partnership between Delhi and Washington. The Indo-Japanese relationship also builds on Indian economic growth and the rediscovery of common values, interests and strategic objectives between the two nations.<sup>2</sup>

India has indeed been keen on reconnecting with East Asia since the 1990s for economic and political reasons, while Japan started a "reasianization" process after the 1997 crisis. Japan finds an interest in getting closer to India, which shares its desire to build a multipolar Asia and counterbalance Chinese power. In this regard, Japan played an essential role in integrating India into the East Asia Summit. This "mutually beneficial" relationship is also very significant for this new wave of Asian regionalism, which seems to shift from an "Asia-Pacific" to a "broader East Asia" focus.

<sup>&</sup>lt;sup>1</sup> To borrow the Indian terminology: Prime Minister Singh, Speech to the Japanese Diet, 15 December 2006. See the table below.

<sup>&</sup>lt;sup>2</sup> See N.S. Sisodia, G.V.C. Naidu, *India-Japan relations, Partnership for Peace and Security in Asia*, Institute for Defence Studies and Analysis, 2006, 144 pages.

As Paul Evans<sup>3</sup> has suggested, it is useful to distinguish between *regionalisation* and *regionalism*, the latter dealing with the expression of an identity and a cultural bedrock to allow the construction of a regional organisation based on economic and political convergence (*regionalisation*). From a methodological perspective, this means that the Japan-India contribution shall be examined for these two dimensions of the East Asian regional construction. The complex reality of Asian international relations justifies an "analytic eclecticism"<sup>4</sup> in order to grasp the most comprehensive image of the ongoing processes. While drawing from a broad realist perspective, I will test some constructivist and functionalist approaches when reviewing Japanese and Indian policy and discourse about the construction of an integrated Asia.

This paper aims to discuss the implications of Japan-India partnership for the regional project, the regional identity, and the regional balance of power. The importance of the nascent Japan-India relationship in Asia will be assessed, as well as its capacity to "make sense" for East Asia identity and current regionalism.

# **<u>1. The regional project behind the Indo-Japanese current</u>** <u>rapprochement</u>

The conjunction of exogenous and endogenous factors explains the current Japan-India rapprochement. The rise of China transforming the power paradigm in Asia is an object of concern for both Delhi and Tokyo. The bilateral partnership is part of their effort to hedge against Chinese power. The about-face of the American administration toward India since the year 2000 is another important reason behind Tokyo's new approach toward Delhi.

The rapprochement also builds on Indian economic growth. Prompted by liberalization reforms since 1991 and accompanied by a charm offensive toward East Asia, it is an important element that motivated Japan to reconsider India as an attractive economic partner. The two countries also share common values (democracy and market economy) and strategic interests (building a multipolar world, gaining a permanent seat at the United Nations Security Council<sup>5</sup>).

The historic visit of Prime Minister Mori to India in 2000 marked the turning point in the bilateral relationship: Japan and India decided to build a "global partnership for the 21<sup>st</sup> Century". <sup>6</sup> Most of the motives underpinning the Japan-India rapprochement directly or indirectly relate to the two countries' ambitions in East Asia.

<sup>&</sup>lt;sup>3</sup> Paul Evans, "Between Regionalism and Regionalization: Policy Networks and the Nascent East Asian Institutional Identity", In T.J. Pempel, ed., *Remapping East Asia: The Construction of a Region*, Cornell University Press, 2005.

*a Region*, Cornell University Press, 2005. <sup>4</sup> Peter Katzenstein and Nobuo Okawara, "Japan, Asian-Pacific Security, and the Case for Analytical Eclecticism", *International Security*, Vol. 26, No. 3 (Winter 2001/02), pp. 153–185.

<sup>&</sup>lt;sup>5</sup> In 2004, Japan, India, Brazil and Germany formed the G4 in order to gather their claim to get a permanent seat in the CSNU.

<sup>&</sup>lt;sup>6</sup> See the Summary of Japan-India Summit Meeting (August 23, 2000) on : www.mofa.go.jp

#### Reinvesting the New Asia: common interests

For India, the re-engagement in East Asia takes place in the context of the "Look East policy" since the 1990s. Aiming at reconnecting India with a dynamic, integrated East Asia, it is part of an overall strategy to become a regional power. Accordingly, the Indian national interest has been widened to embrace the greater Indian Ocean, "from the Persian Gulf to the strait of Malacca".<sup>7</sup> This new strategic orientation is accompanied by a build-up of maritime capacity.<sup>8</sup>

Japan adopted a more proactive role in Asia in the wake of the 1997 Asian fiscal crisis, when it provided a large amount of assistance for Asian countries and proposed the creation of an Asian Monetary Fund. Even if this initiative, opposed by the U.S. and China, eventually failed, Southeast Asia countries came to see Japan as the real economic leader of Asia, and asked Tokyo to take a greater political role in the region<sup>9</sup>. Since then, Japan has been very active in promoting regional agreements, in the economic (FTAs), political and security field<sup>10</sup> in an effort to refocus its diplomatic efforts toward Asia. This Japanese interest in East Asia has also to do with the rise of China and Beijing's attempt to take the lead in the region.

India and Japan share the perception that Asia is the new development locomotive in the 21<sup>st</sup> Century. Asia represents half of the world's population, includes the world's second economic power, two giant nations that have grown at a fast pace (more than 7 %), and a collection of new industrialized countries. Asia has a high potential for economic cooperation with a vertical specialization, dense regional networks for production, and more than 55 % intra-zone trade. In this context, Tokyo and Delhi wish to support this "new Asian era" by defining ways to cope with the US-defined "arc of instability", by building an "arc of advantage"<sup>11</sup> and contributing to the construction of an East Asian Community.

#### The diplomacy of the "arcs"

In 2004, Manmohan Singh, the father of Indian liberal reforms in the 1990s, floated his vision of an "arc of advantage and prosperity" <sup>12</sup> across Asia, in the context of the failure of multilateral trade negotiations and the strengthening of regional groupings. Considered as an extension of the "Look East Policy", the "arc of advantage" is a new vision to promote a regional zone of shared prosperity. This expression emphasizes the

<sup>&</sup>lt;sup>7</sup> David Scott, "India's "Grand Strategy" for the Indian Ocean : Mahanian Visions", *Asia-Pacific Review*, 13-2, 2006, pp. 97-129, p. 108.

<sup>&</sup>lt;sup>8</sup>This is a revival of Mahan and Panikkar doctrine (K.M. Panikkar, *India and the Indian Ocean: An essay on the influence of Sea Power on Indian history*, Macmillan, London, 1945.) See

Indian Navy, Strategic Defence Review: The Maritime Dimension-A Naval View, 20 May 1998.

<sup>&</sup>lt;sup>9</sup> Dirk Nabers, *China, Japan, and the Quest for Leadership in East Asia*, GIGA Working Papers n° 67, February 2008, p. 19 <sup>10</sup> By example, Tokyo is at the origin of ReCAAP (Regional Cooperation Agreement on

<sup>&</sup>lt;sup>10</sup> By example, Tokyo is at the origin of ReCAAP (Regional Cooperation Agreement on Combating Piracy and Armed Robbery against Ships in Asia).

<sup>&</sup>lt;sup>11</sup> Japan-India Summit Meeting-Summary, November 29, 2004.

<sup>&</sup>lt;sup>12</sup> Inaugural Address by Dr. Manmohan Singh, Prime Minister of India, Third India-ASEAN Business Summit, 19 October 2004, New Delhi.

importance of reconnecting India to East Asia, through the multiplication of trade exchanges and development of reliable transportation routes.

This objective was to be reached by the completion of a Free Trade Agreement with ASEAN.<sup>13</sup> Besides, an Asian Economic Community<sup>14</sup> that would encompass the ASEAN+3 "from the Himalayas to the Pacific Ocean" would be built. This integrated market would enable "large scale movement of people, capital, ideas, and creativity".<sup>15</sup> Further elaborating on his vision, Singh proposed in December 2005 a Pan-Asia Free Trade Agreement, based on an ASEAN+6 framework<sup>16</sup>, on the model of the European Union.<sup>17</sup>

This is mainly a project of economic reintegration in East Asia, in order to take part in the construction of a large pan-Asian free trade area, which could sustain the Indian economic growth.

At the end of November 2006, Taro Aso, then Minister of Foreign Affairs, introduced the expression "Arc of Freedom and Prosperity". <sup>18</sup> It was one of the rare attempts to approach Japanese diplomacy in conceptual terms. Because this bold move provoked some negative reactions, especially from China and some Middle Eastern countries, the rhetoric soon disappeared from the political discourse. This vision holds that Japanese foreign policy is founded on a new pillar: "value oriented diplomacy", based on universal values such as democracy, freedom, human rights, the rule of law and the market economy. Accordingly, Japan shall assist the young democracies in the outer rim of the Eurasian continent, in order to build an "arc of freedom and prosperity". As an Asianpace-setter in terms of democracy and economic development, Japan is presented as a legitimate leader in the region. In the same statement, Aso stated that Japan must also "make its ties even firmer with friendly nations that share the common views and interests". Comparing Japanese bilateral relations with China and India, Taro Aso also called for an improvement in the relationship with Delhi.

In contrast with the Indian "arc", which is mainly of an economic nature, the Japanese vision of the "arc" is much more political and deals with the promotion of democracy, to allow a more balanced economic freedom and shared prosperity. However, in March 2007, Minister of Foreign Affairs Aso and his Indian counterpart Mukherjee "reaffirmed that there exists common objectives and values between the idea of "Arc of Freedom and Prosperity" proposed by Japan and the idea of "Arc of Advantage and

<sup>&</sup>lt;sup>13</sup> The agreement is still not finalized, one of the main obstacles being the liberalization of the Indian agriculture sector.

<sup>&</sup>lt;sup>14</sup> This expression was first used in the Indian context by Prime Minister Vajpayee at the 2<sup>nd</sup> India-ASEAN Summit in 2003. <sup>15</sup> Inaugural Address by Dr. Manmohan Singh, Prime Minister of India, Third India-ASEAN

Business Summit, October 19, 2004, New Delhi.<sup>16</sup> ASEAN+ China, Japan, Korea and India, Australia, New Zealand.

<sup>17</sup> Amit Baruah, "Manmohan calls for creation of pan-Asian free trade area", The Hindu, 13 December 2005.

<sup>&</sup>lt;sup>18</sup> Taro Aso, Minister of Foreign Affairs on the occasion of the Japan Institute of International Affairs Seminar, "Arc of Freedom and Prosperity: Japan's Expanding Diplomatic Horizons", 30 November 2006.

Prosperity" proposed by India, and that realisation of such common ideas would benefit not only Japan and India but also the whole of Asia.".<sup>19</sup>

It must be added that in a recent speech on foreign policy given at the end of June 2009, Prime Minister Aso elaborated on the concept of "Arc of Freedom and Prosperity" as a basis for forming "a modern-day version of the Silk Road". This new vision, calling for connecting routes "from the Pacific Ocean to Europe," clearly meets the Indian version of the "arc".<sup>20</sup>

Japan and India used the "arc" metaphor in order to advance their vision of an integrated, multipolar East Asia, extended from India to Australia and New Zealand.

## Japan, India and the genesis of the East Asian Summit

Since the beginning of the 21<sup>st</sup> century and in the context of failure of the global trade mechanisms, numerous bilateral and multilateral Free Trade Agreements were tied up in East Asia. With more than 80 agreements, the question is now how to shift from a complex noodle bowl of overlapping FTAs to a meaningful pan-Asian framework. The idea of building an "East Asian community » eventually came up after a process of reflection led by the countries of ASEAN+3 (or APT for ASEAN Plus Three).

In 1998, APT established successively the East Asian Vision group (1999-2001) and the East Asia Study Group (EASG). The EASG report, issued in 2002, concluded that "the ASEAN+3 framework remains the only credible and realistic vehicle to advance the form and substance of regional cooperation in East Asia."<sup>21</sup> The report made no mention of India or Australia. However, intense diplomatic pressure from Singapore, Japan, Indonesia, and Vietnam succeeded in including India in the project, in less than 3 years. The main reasons behind this change of heart were both *realpolitik* concerns and an economic rationale: ASEAN countries eventually agreed that the presence of two demographic and economic giants in the East Asia "Community" would be better than one. This concern matched perfectly with Japanese interest to balance China in the region.

In the meantime, Prime Minister Koizumi proposed in 2002 an "East Asian community", having ASEAN+3, Australia and New Zealand as the core founding members, and open to the U.S., India and others.<sup>22</sup> India was later included as a full member in the Japanese vision of a regional project. This resulted from a recognition that India is no longer "a local power" but "one of the three major powers of Asia".<sup>23</sup> Also, the Japanese vision of Asia broadened from "East Asia" (APT) to "the entirety of Asia" including South Asia. Three main reasons accounted for this new strategic horizon: economic globalization

<sup>&</sup>lt;sup>19</sup> Joint Press release-Visit of External Affairs Minister of India to Japan, March 2007.

<sup>&</sup>lt;sup>20</sup> "Japan's Diplomacy: Ensuring Security and Prosperity, 30 June 2009, at JIIA. However, the Japanese concept includes a larger group of countries, among them Central and Middle East Asian countries.<sup>21</sup> Final Report of the East Asia Study Group, ASEAN+3 Summit, 4 November 2002, Phnom

Penh, Cambodia, p.5

<sup>&</sup>lt;sup>22</sup> Speech by Prime Minister of Japan Junichiro Koizumi, "Japan and ASEAN in East Asia - A Sincere and Open Partnership," Singapore, January 14, 2002

<sup>&</sup>lt;sup>23</sup> Ambassador Yasukuni Enoki, " The Japan-India Partnership", Lecture at USI, 28 May 2004.

(linked with India's economic growth), the regional security issue (especially the revelation of proliferation connections between North Korea and Pakistan) and Japan's security interest (in particular, the safety of maritime routes and the JMSDF missions in the Indian Ocean after 2001).<sup>24</sup>

In April 2005, a strategic orientation was outlined for the Japan-India partnership which included the realization of an East Asian Economic Community as an « Arc of Advantage and Prosperity ».<sup>25</sup> On this occasion, Tokyo conveyed its decision to support India's membership in the East Asian Summit. Before the first EAS, Japan and India lobbied to have a Chair declaration in which EAS (and not APT) would be presented as the basis for an East Asian Community.<sup>26</sup>

In addition, Tokyo proposed in April 2006 a CEPEA (Comprehensive Economic Partnership in East Asia or "Nikai initiative"). The CEPEA consists of a fund to start a comprehensive economic partnership (CEP), and a policy-oriented research institution based on the model of OECD: the ERIA (Economic Research Institute for ASEAN and East Asia). This consultative body will study the feasibility of a regional FTA, which is the ultimate end of the initiative.

The "Greater East Asian Community" would be organized around ASEAN at the core, Japan, China and South Korea as principal members and Australia, New Zealand and India as the outer circle.<sup>27</sup> This larger framework allows Japan to counteract and contain China which had stolen a march on Japan in knotting up bilateral FTAs in the region. Enlarging the East Asia framework to embrace India also allows Japan to win U.S. approval for this new regional organization and thereby reconcile potentially contradictory interests: to become more actively involved in East Asian regionalism while maintaining strong alliance links with the U.S.<sup>28</sup>

Despite the first mixed reactions to this ambitious project, Japan could count on India and its Pan-Asia free trade proposition (see supra), inasmuch as this latest proposal put India as a full, original member of a community founded on an ASEAN+4 concept, and which could be eventually extended to include Australia and New Zealand.

Both projects had two rival proposals: the East Asia Free Trade Area (EAFTA), promoted by China at the second EAS, and based on ASEAN+3; and the Free Trade Area of Asia-Pacific (FTAAP) supported by the U.S. in late 2006 (partly in reaction to East Asian regionalization), and that can be viewed as a revival of APEC (excluding India). Japan supported the U.S. proposal "as one of the multilayered efforts in the

<sup>&</sup>lt;sup>24</sup> Op. Cit.

<sup>&</sup>lt;sup>25</sup> Japan-India Partnership in the New Asian Era: Strategic Orientation of Japan-India Global Partnership, 28 April 2005.

<sup>&</sup>lt;sup>26</sup> Bruce Vaughn, « East Asian Summit : Issues for Congress », *CRS Report for Congress*, December 9, 2005, p.3.

<sup>&</sup>lt;sup>27</sup> Shinji Shigematsu, "CEPEA: Is It Possible to Organize Asia-Oceanic Community?", *The Otemon Journal of Australian Studies*, Otemon Gakuin University, vol. 32, 2006, pp. 21-28, p. 22.

<sup>&</sup>lt;sup>28</sup> David Camroux, "Asia...whose Asia ? A 'return to the future' of a Sino-India Asian Community", *The Pacific Review*, 20-4, December 2007, pp. 551-575, p. 561.

region"<sup>29</sup>, meaning that it does not compete with the CEPEA project, generally seen as more feasible than a broad FTAAP. At the Second EAS (January 2007), the Chair Statement eventually announced that the CEPEA proposal would be studied. A modus vivendi was found between Japan and China by stating that ASEAN would stand as the driving force.<sup>30</sup> If the legitimacy of the ASEAN+6 framework has been debated, especially by China or Malaysia, it was eventually endorsed by ASEAN: in the Chairman's Statement of the 2006 and 2007 ASEAN Summit, the EAS is described as "an important component of the merging regional architecture [that] would help build an East Asian Community". Besides, the ASEAN+6 framework makes sense economically: most economic assessments conclude that an ASEAN+6 Free-trade zone would be more efficient than an ASEAN+3 FTA.<sup>31</sup>

## The East Asia Summit and the question of Asian identity

The EAS is generally presented as a larger, improved version of the East Asia Economic Caucus (EAEC) proposed by Malaysian President Mahathir in 1990. The EAEC proposal was designed to counter the ASEAN's integration in APEC and create a purely "Asian" economic organization, without the Western nations. As such, it drew extensively from the discourse on the superiority of Asian values (mainly equated with Confucian values) that explain the success of the Asian model of development.

It was an early vision of the ASEAN+3 – notwithstanding the discourse on Asian values - but the project failed, because Japan did not want to commit to this organization that excluded the U.S. Also, the Asian countries lacked a common experience and common "others" to make their organisation meaningful enough. The 1997 Asian crisis provided for both elements.<sup>32</sup> Besides, in 1996, the constitution of the Asia-Europe Meeting (ASEM) confirmed that the E.U. equated Asia with "East Asia". At that time, the candidacy of India to take part in the forum was rejected.<sup>33</sup>

Japan and India share a functionalist approach toward East Asian regionalization. Aiming at preserving peace and prosperity in Asia, they both support the construction of a free-trade area in order to sustain growth and connecting the whole Asian region, from India to Australia.

<sup>&</sup>lt;sup>29</sup> Junichi Sugawara, "The FTAAP and Economic Integration in East Asia: Japan's approach to regionalism and US engagement in East Asia", Mizuho Research Paper 12, Tokyo, February 2007 <sup>30</sup> Mohan Malik, "The East Asia Summit : More Discord than Accord", YaleGlobal, 20 December

<sup>2005</sup> 

<sup>&</sup>lt;sup>31</sup> Kumar, Nagesh. 2008. "Relevance and Challenges of Broader Regionalism in Asia". India Quarterly LXI (1): 79-105 ; Masahiro Kawai and Ganeshan Wignaraja, "EAFTA or DEPEA.

Which Way Forward ?", ASEAN Economic Bulletin 25-2, 2008, pp. 113-139.

<sup>&</sup>lt;sup>32</sup> East Asian countries' solidarity grew up out of the crisis difficulties. Japan acted as a leader by assisting the affected countries and proposing a Asian monetary fund. The IFM and the U.S., who opposed Tokyo's AMF, played the role of the "others". Takashi Terada, "Constructing an 'East Asian' concept and growing regional identity: from EAEC to ASEAN+3', The Pacific Review, 16-2, 2003, pp.251-277.

 $<sup>^{33}</sup>$  However, in 2007 India (along with Pakistan and Mongolia) was integrated as a regular member in the ASEM summit.

According to a recent survey, 81 % of the Asian strategic elite express support for building an "East Asia Community"; however, the membership is still debated.<sup>34</sup> This debate highlights the lack of a well-defined East Asian identity. In the EAS, "East Asia" is understood as a geopolitical, constructed concept, rather than a purely geographical expression. Actually, the origin of the idea of such a "broader East-Asia" or "Greater Asia" community can be traced back to the prewar period, when, in the context of anti-imperialism and anti-westernism, Japanese and Indian intellectuals conducted a reflection on the Asian identity.

# 2. Coming back to the roots : significance of past interactions on pan-Asianism

Historical and cultural references on bilateral connections regarding Asianism and Asian identity are extensively used in the Indian and Japanese diplomatic discourses. Most of the time, both Indian and Japanese diplomats or leaders elaborate on a "romantic vision" of the relationship, referring to early Buddhist connections (travel of the Indian monk Bodhisena to Japan in the 8<sup>th</sup> Century), the friendship of Tagore and Okakura Tenshin (end of the 19<sup>th</sup> Century until 1913), the Japanese support of the revolutionary activities of Rash Behari Bose (1915-1945) and Chubas Chandra Bose (1943-1945) and the dissenting judgment of Justice Radhabinod Pal at the Tokyo war crimes trial in 1948.<sup>35</sup>

These references are significant at the bilateral level, but also at the regional level. It is then important to look at the true history behind the recurrent clichés used by the politicians to understand their meaning. It is also a unique occasion to study the Indian and the Japanese vision of the pan-Asianism and to think about the current significance of this ambivalent ideology for current Asian regionalism. Even if pan-Asianism is a rejected discourse today because it underpinned the Japanese violent militarism in the region, it is still an important part of the cultural and intellectual bedrock of Asian identity.

# Ambivalence of the pan-Asianist discourse in Japan and India

The discourse on "Asia" (labelled as "Asianism" or "pan-Asianism") in Japan and India was designed in the context of both countries' nationalist projects against Western domination, at the turn of the 20<sup>th</sup> century. Asianism claims that despite their diversity, the Asian countries share common cultural values, especially a high degree of spirituality and aesthetics sensitivity, in opposition to the materialist and decadent West. The aim is to give the Asian countries a sense of unity based on a renewed pride in Asian identity, different from the Western vision of Asia as a backward region. Ultimately, "Asia" is a rallying cry for Asian nations to come together to escape or emancipate themselves from colonization.

<sup>&</sup>lt;sup>34</sup> Bates Gill, Michael Green, Kiyoto Tsuji, William Watts, *Strategic Views on Asian Regionalism, Survey Results and Analysis*, CSIS, February 2009, p. 8.

<sup>&</sup>lt;sup>35</sup> For details, see the quotations of the discourses in the table infra.

As such, "Asia" is a malleable concept that was invested by ambivalent, contradictory political projects. Koschmann successfully captured this idea in a nutshell: "Without the West there is no East. The very idea of Asia is ultimately empty and variously exploitable. The ideology of Asianism rejects that emptiness by attributing positive, essential meaning to Asia, however it might be conceived geographically."<sup>36</sup> This is also the analysis of an important Japanese historian: Takeuchi Yoshimi, in his book *Nihon to Ajia* (1<sup>st</sup> edition 1966).

In India and Japan, pan-Asianism was used both as a project of national emancipation and a project of domination in the region.

In Japan, Asianism could be characterised by three elements: 1. The resistance to western influence in the region, 2. The belief in a common identity in Asia, 3. The claim of Japan to take the lead against Western imperialism.<sup>37</sup>

Originally, Asianism was developed as a reaction to the westernization of Japan during the Meiji era (1868-1912). At that time Japanese authorities, in order to protect national independence, decided to take a shortcut to modernization by rapidly adopting and mimicking Western institutions and cultural habits. At Fukuzawa Yukichi's call in 1895, it was time to escape the fellow Asian countries, considered as backward, and to join the modern West (*datsuA nyûO*). As a reaction, several intellectuals and politicians began to advocate a return to Asian values and traditions. They claimed that Asia, not the Western club of Great Powers, should be the place for Japan's national project.<sup>38</sup> While the "liberal" school called for Japanese solidarity with the oppressed nations of Asia, the "hardliners" asserted Japanese superiority and hegemony in the region.<sup>39</sup>

On the other hand, the Indian elite had to get rid of the inferiority complex infused by the British colonizers in order to develop its own nationalism. This process involved a rediscovery of Indian traditional values and history reconsidered in a positive light.<sup>40</sup> In this context, the concept of an Asian identity and unity was very appealing and supported the formation of an Indian national identity. Thus, the two processes worked in synergy. In India, the "universalist" school (Tagore, Gandhi, Nehru) called for an Asian Federation, that would serve to better advance the interest of Asian countries toward freedom and independence<sup>41</sup> while the "Greater India" school advocated a new

 <sup>&</sup>lt;sup>36</sup> Victor Koschmann, "Asianism's Ambivalent Legacy", in Peter J. Katzenstein and Takashi Shiraishi eds., Network of power, Japan and Asia, pp. 83-110, 1997, p. 83.
<sup>37</sup> Yves Bougon, « Le Japon et le discours asiatiste », pp. 241-253, in Philippe Pelletier dir.,

 <sup>&</sup>lt;sup>37</sup> Yves Bougon, « Le Japon et le discours asiatiste », pp. 241-253, in Philippe Pelletier dir., *Identités territoriales en Asie orientale (NORAO)*, Les Indes Savantes, 2004, 388 pages, p. 243.
<sup>38</sup> Sven Saaler, "Pan-Asianism in Modern Japanese History – Overcoming the nation, creating a

region, forging an empire", in *Pan-Asianism in Modern Japanese History, colonialism, regionalism and borders*, Sven Saaler and Victor Koschman ed., Routledge, 2007, p. 2-3.

<sup>&</sup>lt;sup>39</sup> The pan-Asianism discourse cannot be identified entirely with the Japanese imperialist approach, as some intellectuals did not support the Japanese invasion of Asia, conversely, the imperialist school mainly did use this pan-Asianism approach as a pretext for military expansion. See Cemil Aydin, « Japan's Pan-Asianism and the Legitimacy of Imperial World Order, 1931-1945. », *Japan Focus*, March 12, 2008.

 <sup>&</sup>lt;sup>40</sup> Christophe Jaffrelot, "L'émergence des nationalismes en Inde. Perspectives théoriques. », *Revue Française de Science Politique*, 38-4, 1988, pp. 555-575.
<sup>41</sup> T. A. Keenleyside, « Nationalist Indian Attitudes Towards Asia : A Troublesome Legacy for

<sup>&</sup>lt;sup>41</sup> T. A. Keenleyside, « Nationalist Indian Attitudes Towards Asia : A Troublesome Legacy for Post-Independence Indian Foreign Policy », *Pacific Affairs*, 55-2, Summer 1982, pp. 210-230, pp. 216.

Indian supremacy within its cultural sphere of influence, "from Kerala to Indonesia".<sup>42</sup> The "Greater India" ideology saw India as a benevolent hegemon, that has spread its culture and spirituality (Buddhism and Hinduism) in South and Southeast Asia, making the region its cultural backyard.

#### Japan and India contributions to pan-Asianism thinking

The early references in Japan to Asianism (ajiashugi), which first appeared in 1892, referred to a community of history and values among Japan, Korea and China.<sup>43</sup> The stress put on the anti-westernism and anti-imperialism explains the later extension of the concept to embrace Southeast Asia, and eventually South Asia.<sup>44</sup>

In India, meanwhile, the fascination with Japan's modernization process and its victory over Russia in 1905 was huge, and several opinion leaders came to see Tokyo as an example and a valuable partner in the Indian fight for independence.

The interactions between Japan and India on pan-Asianism were mainly via individuals. India, of course, was under British colonial rule. On the other hand, the role of the Japanese state was ambivalent. Tied to its alliance with Great Britain from 1902, Tokyo was not supportive of the pan-Asianist trend until the 1920s. When Japan gained the status of quasi-great power, pan-Asianism emerged as a practical ideology that could be implemented to demonstrate Japan's cultural and political might in Asia.<sup>45</sup> Meanwhile, growing Japanese differences with its British ally led to greater attention and protectiveness toward the Indian anti-colonial nationalists who sought Japanese support. Despite this involvement, one must keep in mind that support for Indian anticolonialism actually "came from the margins of Japanese society".<sup>46</sup>

# Okakura and Tagore

The Japanese art critic Okakura Kakuzo (or Okakura Tenshin) (1862-1913) asserted in 1903 in the first sentence of his major work The Ideals of the East (1904): "Asia is one". This book describes the striking spiritual values of the East and deems Japan to represent the quintessence of Asian culture. As such, Japan is presented as the central actor (but not hegemonic actor) in Asian modernisation and emancipation from the western powers.<sup>47</sup>

<sup>&</sup>lt;sup>42</sup> T. A. Keenleyside, Op. Cit., p. 230.

<sup>&</sup>lt;sup>43</sup> Yves Bougon, « Le Japon et le discours asiatiste », Op. Cit., p. 241.

<sup>&</sup>lt;sup>44</sup> In 1917, Ökawa Shûmei established the "All-Asian Association" (Zen Ajia Kai) that included India and Western Asia.

 <sup>&</sup>lt;sup>45</sup> Sven Saaler, Op. Cit., p. 5-7.
<sup>46</sup> Grant K. Goodman, Anand Mohan Sahay (1898-1991)-Indian Revolutionary in Japan, *Electronic Journal of Contemporary Japanese Studies*, 26 January 2008. <sup>47</sup> "It has been, however, the great privilege of Japan to realise this unity-in-complexity with a

special clearness. The Indo-Tartaric blood of this race was in itself a heritage which gualified it to imbibe from the two sources, and so mirror the whole of Asiatic consciousness. The unique blessing of unbroken sovereignty, the proud self-reliance of an unconquered race, and the insular isolation which protected ancestral ideas and instincts at the cost of expansion, made Japan the real repository of the trust of Asiatic thought and culture.[...] It is in Japan alone that the historic wealth of Asiatic culture can be consecutively studied through its treasured specimens.[...] Thus Japan is a museum of Asiatic civilisation", Okakura Kakuzo, The Ideals of

The strong friendship that united Okakura with the Bengali poet Tagore (1861-1941) is well-known. Okakura met Tagore in India, where he traveled and lived for a while (1901-1902). Working on Asian arts, he wrote in English and mostly for a foreign audience (two of his four masterworks were written in India), which makes him an exception in the Japan of his day. Okakura developed a particular definition of Asia, similar to Tagore's view, and evolving from a broad European-biased view to a Buddhist-tinged vision in which "Asia" equated with the Buddhist civilization "Buddhaland".<sup>48</sup>

Okakura was actually more famous abroad than in Japan, where the political and intellectual elite (including Okawa Shumei) rediscovered his work in the 1930s in their attempt to build the concept of a "Greater East Asia Sphere of Co-prosperity" (Daitôa *Kyôeiken*). Okakura is even regarded by some as one of those who inspired the Bengali revolutionaries of the Secret society Anushilam Samiti, at the origin of the terrorist independence movement in Bengal (though others debate his real influence).<sup>49</sup>

Tagore also travelled to Japan, and in a 1916 message he expressed his admiration for an Asian nation that could embrace modernity and defeat a Western country in military combat. He called upon Japan to lead as a virtuous example of a benevolent, spiritual, alternative modernity.<sup>50</sup> But Tagore also worried about the rising Japanese nationalism. In his lecture "Nationalism in Japan", he stated: "What is dangerous for Japan is not the imitation of the outer features of the West but the acceptance of the motive force of the Western nationalism as her own".<sup>51</sup>

In 1938, in his correspondence with the poet Yone Noguchi, Tagore bemoaned Japan's aggressive expansionism and wrote that "the doctrine of 'Asia for Asia' [...] as an instrument of political blackmail has all the virtues of the lesser Europe which I repudiate and nothing of the larger humanity that makes us one across the barriers of political labels and divisions".<sup>52</sup>

The friendship between Okakura and Tagore is idealized as representative of India-Japan close interactions on pan-Asianism. However, when looking closer, we discover that Okakura's liberal vision of Asianism was not heard in Japan, and that his work was distorted in the 1930s by expansionists. Also, this reference obscures Tagore's very critical evaluation of Japanese nationalism after the 1920s and Okakura's passing.

*the East with Special References to the Art of Japan*, London, John Murray, 1903, pp. 4-5 <sup>48</sup> He then revived the old, traditional Buddhist vision of the world organized around the three poles of Honchô (Japan), Tenjiku (India) and (Shintan) China. Pekka Korhonen, "The Geography of Okakura Tenshin", *Japan Review*, 13-2001, pp. 107-128.

Peter Heehs, « Foreign Influences on Bengali Revolutionary Terrorism 1902-1908 », Modern Asian Studies, Vol. 28, No. 3., Jul., 1994, pp. 533-556. <sup>50</sup> Rabindranath Tagore, *The Message of India to Japan*, The Macmillan Compagny, New York,

<sup>1916.</sup> 

<sup>&</sup>lt;sup>51</sup> Rabindranath Tagore, "Nationalism in Japan", in English writings of Rabindranath Tagore, Atlantic publishers and Distributors, 2007, pp. 466-490, p. 281. The same warning was made by Sun Yat Sen in his speech "Pan-Asianism" in Kobe (1924).

<sup>&</sup>lt;sup>52</sup> See the reproduction of the letters in Zeljko Cipris, "Seduced by Nationalism : Yone Noguchi's 'terrible Mistake'. Debating the China-Japan War with Tagore", Japan Focus, November 17, 2007.

#### Imperialist Japan and Indian revolutionaries

In the 1930s and 1940s, the pan-Asianist ideology was fully part of the Japanese state imperialist project. As the invasion in China advanced, most Asian leaders turned their backs on Japan. Tokyo then sought to legitimize its behaviour by referring to an early, liberal pan-Asianism of the sort promoted during the pre-World War One era.<sup>53</sup>

Even though Japan was harshly criticized<sup>54</sup> by the core leaders of the Congress Party like Gandhi and Nehru, its connections with some Indian revolutionaries were maintained as long as both parties saw a way to advance their respective interests.

Rash Bihari Bose, who had been living in Japan since 1915, married a Japanese woman and was given Japanese nationality. He used his network in ultra-nationalist circles<sup>55</sup> in order to gain access to Prime Minister Tojo Hideki and he persuaded him to support the Indian anti-colonial cause. As a result, two conferences gathering Indian expatriates in Asia were held in Tokyo and Bangkok in 1942, where it was decided to establish the Indian Independence League and the Indian National Army (INA).

Tokyo was actively involved in the formation of the INA in Singapore, initiated by the intelligence agency F-Kikan, and composed of Indian prisoners of war captured in the Malaya and Burma fronts. However the constitution of the INA complicated the relationship between the Indian revolutionaries and the Japanese Central command who wanted to use the army as a bulwark for the Greater East Asian Co-Prosperity Sphere on the Burmese front. However, the charismatic revolutionary Subhas Chandra Bose, who took command of the INA in 1943, reversed the situation and convinced the Japanese to attack Imphal in Northeast India. The operation turned out to be one of the most decisive early defeats for the Japanese Imperial Army.<sup>56</sup>

In the wake of the war, the dissenting judgment by Indian Justice Radabhino Pal at the Tokyo War Crimes Tribunal reflected the magnanimity of some Indian nationalists toward imperialist Japan. Justice Pal, who had studied in Kolkata, was well aware of the connections between Bengalis seeking independence and Japanese nationalists. He had a great admiration for Japan.<sup>57</sup> He denounced the political bias of the Tokyo trial as "victors' justice". He considered that the Tokyo trial wrongly judged and condemned

<sup>&</sup>lt;sup>53</sup> Cemil Aydin (2008) Op. Cit.

<sup>&</sup>lt;sup>54</sup> When Japan began to invade China, the sympathy of the Congress Party toward Tokyo faded and turned to the destiny of China. This caused concerns for Indian activists in Japan, mainly because they feared that it would diminish Japanese support for Indian independence. In 1938 the Congress Party ordered a boycott of Japanese goods. See Grant K. Goodman (2008), Op. Cit.

<sup>&</sup>lt;sup>55</sup> He had close contact with Toyama Misturu and the Black Dragon Society.

<sup>&</sup>lt;sup>56</sup> The battle lasted from March to July 1944, and the Japanese army was driven back to Burma with heavy losses. This was the turning point of the Burma campaign, signalling the end of the Japanese offensive on this front. See the work of Joyce C. Lebra, particularly: *Jungle Alliance: Japan and the Indian National Army*, Asia Pacific Press, Singapore, 1971.

<sup>&</sup>lt;sup>57</sup> Ashis Nandy, "The Other Within: The Strange Case of Radhabinod Pal's Judgment on Culpability", *New Literary History*, 23-1, Winter 1992, pp. 45-67, p. 53.

oriental civilisation, identified as the cultural root of Japan's militarism.<sup>58</sup> However, Pal's opinion was his own, and was not representative of the Indian government.<sup>59</sup>

## A utilitarian relationship

This short survey of Japan-India historical interactions regarding Asia reveals first of all the asymmetry of interest between the two countries: while Japan is clearly identified as a leader and key player in the region by Delhi, India is viewed as a country at the margin of East Asia and the Japanese strategic horizon. The Japanese interest in India at that time was a very contextual one and resulted more from an anti-western sentiment than a genuine interest in India's situation. Anand Mohan Sahay<sup>60</sup>, who spent almost twenty years (from 1923) in Kobe and was very disappointed to find no Indian or Japanese allies to support India's independence, is illustrative of Indians who felt deceived by the Japanese position. Also, the interest in India nurtured by Okawa Shumei, a major theorist of imperialist pan-Asianism in Japan, actually originated from his anti-westernism and racism: he never sought to travel to India and thus developed an exotic, romantic view of the country.<sup>61</sup>

On the Indian side, it is important to recall that as Japan turned imperialist, the core leaders of the Congress Party became very critical and turned their eyes to China. The emotional attractiveness of China stemmed from a belief in the spiritual unity of India and China and a common experience of oppression. Both countries were described by Nehru in 1938 as "sister nations".<sup>62</sup> The reality is that only a minority of Indian anti-colonial nationalists put their bet on Japan.

The links between Japanese nationalists and Indian revolutionaries were therefore marginal and mostly self-interested. Each party used its contacts to further its own interest and agenda. This is revealed by the efforts made by Subhas Chandra Bose to find an alternative ally in China or the USSR to support the Indian liberation movement when the Japanese war effort began to face eventual defeat by late 1944.<sup>63</sup> The initial common understanding centered around an Asian identity was soon corrupted by the Japanese imperialist project.

#### What is the significance of these references today ?

In the 1990s, India grounded its Look East Policy in a cultural discourse that harked back to the heritage of Tagore and Nehru. These references, coupled with a diplomacy

<sup>&</sup>lt;sup>58</sup> Ushimura Kei, *Beyond the "Judgment of Civilization", The Intellectual legacy of the Japanese War Crimes Trials, 1946-1949*, LTCB International Library Trust, Tokyo, 2003, 336 pages, pp. 168-169.

<sup>&</sup>lt;sup>59</sup> 'Pal Hanji, Tokyo Saiban Hihan to Zettai Heiwa-shugi' (Judge Pal: His Criticism of the Tokyo Trial and His Absolute Pacifism) by Takeshi Nakajima, Hakusui-sha, 2007, 308 pages. Review by Fumiko Halloran, *The Japan Society Review*, Issue 14 Volume 3 Number 2 (2008).

 $<sup>\</sup>overset{60}{\sim}$  Grant K. Goodman (2008), Op. Cit.

<sup>&</sup>lt;sup>61</sup> Yukiko Sumi Barnett, « India in Asia: Okawa Shumei's Pan-Asian Though and His Idea of India in Early Twentieth-Century Japan", Journal of the Oxford University History Society, 1, 2004

<sup>&</sup>lt;sup>62</sup> T. A. Keenleyside, Op. Cit., pp. 212-213.

<sup>&</sup>lt;sup>63</sup> Grant K. Goodman (2008), Op. Cit.

focused on economic cooperation and exchanges, were used to reassure East Asian investors for whom India was seen as a turbulent, distant country. This strategy was used in particular vis-à-vis Japan.<sup>64</sup>

The legacy of Tagore and Okakura served to state common Asian values based on spirituality: Prime Minister Rao asserted in a speech in Japan in 1992: "Asia is one, the essence of this oneness being spirituality".<sup>65</sup> At the same time, he asserted that Asia was based on syncretism, citing the Indian spiritual contribution to the Asian identity as the cradle of Buddhism and Hinduism, in an attempt to integrate (at least, culturally) India into East Asia.

In Japan, the use of these references has several meanings. First, the reminders that India and Japan share only positive history are supposed to reassure the business community, scalded by the anti-Japanese demonstrations that took place in China in 2005 because of the historical disputes between the two countries.

These references also cast India in a positive light, as an historical friendly nation to Japan, and a stable partner that also shares democratic values. This attempt to mould a positive perception of India in Japan complements Tokyo's gradual softening of its stance toward India's nuclear power status.

Finally, these references are particularly used by right-wing, nationalistic politicians and intellectuals. These elements that focus on Japanese positive attempts to unite Asia and assist Asian independence movements, and the mention of Justice Pal, can be used to present a prettier, sanitized vision of Japan's wartime history. <sup>66</sup> By softening the perception of Japanese war crimes and questioning the legal validity of the Tokyo trial, the neo-nationalists are trying to "break away from the *postwar* regime", instill a new sense of pride in the Japanese nation, and call for a strong Japanese political role in the region, without dwelling on the details of the past. Former Prime Minister Shinzo Abe, who elaborated his nationalistic agenda for Japan in his book "Toward a Beautiful Country,"<sup>67</sup> is a striking example. He is also an Indophile. When he travelled to India in August 2007, he talked before the Indian Parliament about a "broader Asia" at the confluence of the Pacific and the Indian Oceans"<sup>68</sup>, he went to Kolkata to visit the house of Subhas Chandra Bose<sup>69</sup> and he met with the son of Justice Pal.

Several Japanese scholars, like Takako Hirose<sup>70</sup>, worry about the danger of such nationalist rhetoric that does not represent healthy ground on which to build the current

<sup>&</sup>lt;sup>64</sup> Isabelle Saint-Mézard, *Eastward bound, India's New Positioning in Asia*, Manohar, Centre de sciences Humaines, Delhi, 2006, 499 pages, p. 200 and 202.

<sup>&</sup>lt;sup>65</sup> Cited in Isabelle Saint-Mézard, Op. Cit., p. 197.

<sup>&</sup>lt;sup>66</sup> "Decades after war trials, Japan still honors a dissenting judge", *The New York Times*, August 31, 2007.

<sup>&</sup>lt;sup>67</sup> Utsukushii Kuni e, Tokyo, Bungei Shunju, 2006.

<sup>&</sup>lt;sup>68</sup> Abe Shinzo, "Confluence of the Two Seas", Speech at the Parliament of the Republic of India, 22 August 2007.

<sup>&</sup>lt;sup>69</sup> The ashes of Chandra Bose, who allegedly died in a plane crash off Taiwan in 1945, are actually kept in the Rentokuji temple in Tokyo, Suginami ward.

<sup>&</sup>lt;sup>70</sup> Interview with the author, 6 February 2009.

relationship. Moreover, it lends itself to an old-fashioned and stereotypical view of India, still an exotic country in the mind of numerous Japanese.

As the legacy of Japan's wartime imperialism prevented its postwar political influence and intimate involvement in the affairs of East Asia, India's engagement with Southeast Asian countries was similarly impeded by that nation's earlier rhetoric on an Indian cultural sphere ("Greater India"). This meant that both powers had to make creative efforts to involve themselves substantively in the evolving architecture of postwar relationships within East Asia. Since they had never fought or sought to dominate each other, and given the common values and perspectives arising from their status as democratic nations with market economies, Japan and India perceived a basis for joining together in an effort to raise their influence in the region.

Cultural and historical reference points are thus used to advance national interests in building ties and integrating into a region. But India and Japan are above all pragmatic nations; as such, they are also engaged in a classic diplomatic strategy of power balancing.

# 3. Constructing an integrated Asia or a balanced Asia ?

The China and United States factors are determining to a great extent the future shape of relationships within East Asia and between East Asia and the rest of the world. What is the position of the Japan-India partnership regarding these two powers? Are they participating in a new axis of democracies against China? Are they trying to balance U.S. power in Asia ?

# The China factor

Rising China is regarded with concern by both Japan and India. While both countries view Beijing's economic growth as an opportunity, they also worry about the military build-up and the growing diplomatic influence of Beijing in Asia and in the world. Their diplomatic rapprochement is thus a way for Tokyo and Delhi to hedge against China. This is particularly clear with respect to the Japanese side as Tokyo is competing with Beijing to take the lead in shaping the new architecture for the region. Japan is now ready to assume a greater political role in the region as the most advanced Asian democratic power, and seeks to weaken Chinese influence by highlighting the authoritarian nature of the regime.

If the relationship between New Delhi and Beijing is much warmer since 2002, it is still characterised by suspicion and mistrust. Several contentious issues like Tibet , the territorial disputes (in Kashmir, Sikkim and Arunachal Pradesh) and the competition for influence in the Indian Ocean still loom large in the relationship.<sup>71</sup> The military-

<sup>&</sup>lt;sup>71</sup> China is assisting the construction of ports at Sittwe in Myanmar, Chittagong in Bangladesh, Hambantota in Sri Lanka and Gwadar in Pakistan. This forms a « string of pearls>>. Lisa Curtis, "US-India Relations: The China Factor", *Backgrounder, Heritage Foundation*, n°2209, 25

strategic community in India is thus very receptive to adoption of a containment strategy toward China.

On the other hand, even as India is open to a discussion on values<sup>72</sup>, Delhi still sticks to its strategic autonomy rhetoric and refuses to get embroiled in any diplomatic or security arrangement that openly aims at containing China.<sup>73</sup> Despite official reluctance to use balance of power rhetoric and its rhetorical commitment to a traditional nonalignment policy, India is *de facto* playing the realist game of balancing power in Asia in order to advance its national interests.<sup>74</sup>

In this context, the East Asian Summit framework has been characterized as an "antiregion".<sup>75</sup> Shaun Breslin argues that the EAS is clearly not the most relevant and consistent framework to build the region, considering the diversity of the participants. He asserts that the EAS is actually advocated by the regional elite, in reaction to the nascent regional mechanisms that are taking shape within the APT framework, "in an attempt to neutralize Chinese power"<sup>76</sup>.

## The U.S. factor

While the goal of balancing China is clearly shared by Japan and India, both countries are also trying to find a balance in their relationships with the United States. The history of the quadrilateral initiative is telling with respect to Japanese and Indian expectations toward the U.S. and China.

Tokyo supports the rise of complementary and alternative poles of power in Asia and the creation of a network of like-minded partners. Highlighting the importance of drawing closer to India, Prime Minister Abe (September 2006-September 2007) suggested that Delhi be included in the ongoing strategic trilateral dialogue among Tokyo, Washington and Canberra.<sup>77</sup> This proposal got the blessing of Vice President Cheney in February 2007. This strategic quadrilateral is underpinned by a unity of democratic values that implicitly aims at excluding China.

novembre 2008. <sup>72</sup> Voir C. Raja Mohan, "Balancing Interests and Values: India's Struggle with Democracy Promotion", The Washington Quarterly, Summer 2007, pp. 99-115.

<sup>&</sup>lt;sup>73</sup> During his visit to China in January 2008, Prime Minister Singh « insisted he would have no part in any alliance with the United States, Australia and Japan aimed at "containing China", « Singh Rules Out Containment Factor », China Daily, 15 January 2008; and Purnendra Jain, "From Condemnation to Strategic Partnership: Japan's Changing View of India (1998-2007)", *ISAS Working Paper*, n°41, 10 March 2008, 38 p, p. 13-14. <sup>74</sup> See C. Raja Mohan, "The Asian balance of power", *Seminar*, n°487, 2000 ; Christophe

Jaffrelot, "Les quatre points cardinaux de la diplomatie indienne :le régional et le global, l'idéalisme et le réalisme", in Christophe Jaffrelot dir., New Delhi et le monde, Editions Autrement, 2008, pp. 7-31. <sup>75</sup> Shaun Breslin, « Supplying Demand or Demanding Supply ? An Alternative Look at the

Forces Driving East Asian Community Building", Policy analysis brief, The Stanley Foundation, November 2007, 11 pages.

<sup>&</sup>lt;sup>76</sup> Op.Cit., p. 9.

<sup>&</sup>lt;sup>77</sup> Shinzo Abe, *Utsukushii Kuni e*, Op. Cit., p.160.

The strategic component of this "quadrilateral initiative" was seen in the MALABAR 07-2 naval exercises, when 20,000 military personnel, 28 ships, 150 airplanes, and 3 aircraft carriers assembled in the Bay of Bengal during October 2007.<sup>78</sup>

So far, it has been the only naval gathering of the four countries. The Chinese authorities indeed voiced concerns about what they labelled as a foreshadowing of an "Asian NATO".<sup>79</sup> As a result, and because of domestic sensitivities, the Rudd administration in Australia decided to withdraw from the quad, and the Indian communists put pressure on the Singh government to keep away from it. As a result, the quadrilateral initiative is currently in abeyance.<sup>80</sup>

In this context, it seems unlikely that the rhetoric of a « coalition of democracies » will reappear in the short term. Moreover, this does not appear to be the diplomatic orientation of the new Obama administration.<sup>81</sup>

Even so, it is worth noting that Japan participated twice in India-U.S. naval exercises, in April 2007 and June 2009.

If multilateral initiatives centering around the U.S. seem difficult to pursue because of Chinese sensitivities, it would, however, be more acceptable to include Washington in certain forms of a multilateral regional framework.

The question of U.S. integration into an Asian regional organization is central. The U.S. is a de facto Asian power: it still maintains 80,000 soldiers in the Asia Pacific theatre and it is a major trading partner for East Asian countries (the current crisis has shown how much the ASEAN+3 countries are dependent upon U.S. economic growth). From a realist point of view it thus looks inconceivable to build a viable regional economic and security structure without including the U.S. Accordingly, 80% of APT experts strongly support the inclusion of U.S. in EAS (the same percentage supports the inclusion of India).<sup>82</sup>

None of the three major Asian powers really opposes the inclusion of the U.S. in the EAS and there is a broad consensus on an "open and inclusive" regional institution. The Obama administration is currently studying the possibility of signing the Treaty of Amity and Cooperation with ASEAN<sup>83</sup> - required to take part in the EAS. This could

<sup>&</sup>lt;sup>78</sup> See East Asian Strategic Review 2008, NIDS, Tokyo, p. 222.

<sup>&</sup>lt;sup>79</sup> A diplomatic meeting was held before the naval exercises, in May 2007 at the ARF Summit (ASEAN Regional Forum). The Chinese Foreign Ministry spokesman reacted: "China believes that to enhance mutual trust, expand cooperation for mutual benefit and win-win, be open and inclusive is the global trend". "China slams India, US, Japan alliance", Express India.com, 27

June 2007. <sup>80</sup> Emma Chanlett-Avery, Bruce Vaughn, "Emerging Trends in the Security Architecture in Asia : Bilateral and Multilateral Ties Among the United States, Japan, Australia and India", CRS Report for Congress, 7 January 2008, p. 15.

<sup>&</sup>lt;sup>81</sup> See Hillary Clinton's statement on the U.S. strategy in Asia. She stated that « Washington will work with historic allies and emerging nations », « US-Asia Relations. Indispensable to Our Future », Remarks at the Asia Society, New York, 13 February 2009.

<sup>&</sup>lt;sup>82</sup> Bates Gill, Michael Green, Kiyoto Tsuji, William Watts, Strategic Views on Asian Regionalism, Survey Results and Analysis, CSIS, February 2009, p. 8. <sup>83</sup> Mark E. Manyin, Michael John Garcia, Wayne M. Morrison, U.S. Accession to ASEAN's

mean a greater U.S. commitment to the East Asia regional process in the next few years. In terms of balance of powers, this scenario would enhanced the weight of Japan and India in the region. However, a revival of FTAAP, based on APEC, is also foreseen.<sup>84</sup> In this perspective, India, which is not part of APEC, would be marginalized. In terms of membership, the Rudd proposal on an Asia Pacific Community (EAS + United States) could be a compromise solution.<sup>85</sup>

#### What values for the East Asian Community ?

The debate between Peter Drysdale and Hugh White posted on the East Asia Forum website is representative of the arguments on the role of values in the context of East Asian regionalism. <sup>86</sup> While White argues that a regional political and security organization needs to be built on a common set of principles, Drysdale retorts that his vision is hopeless, considering the diversity of the actors. He calls for a "rule-based, not a principle-based Asia Pacific Community"<sup>87</sup>, in order to secure the cooperation of all the regional players.

What about Japan? While the Japanese government favors in principle the construction of an Asian Community, different approaches coexist and contend; some favor APT over EAS (for the Ministry of Finance) or the reverse (for the METI), while the mainstream supports a multilayered architecture, with both APT and EAS (as MOFA). Within the Japanese expert community, the realists tend to be against the EAS framework, deemed as romantic and unrealistic regarding the clash of interests between Japan and China, and the importance of the United States in the region. Proponents of the East Asian community argue that this common project would be able to slowly engage all the powers in the region and complement the respective nationalisms with a regional identity sentiment.<sup>88</sup> While Tokyo supports a functionalist approach toward East Asian regionalism, it is also increasingly emphasizing the role of values. However, the Japanese discussion of values and the "arc" does not necessary reflect an idealist vision of international relations, but has the merit of offering an alternative vision in opposition to regional domination by a rising China and that could become the strategic platform for a coalition.<sup>89</sup>

India is still maintaining an ambiguous posture regarding support for "values" diplomacy. Several opinion leaders are calling for a greater emphasis in the country's foreign policy on Indian democratic values and the Indian political model.<sup>90</sup> Delhi will

<sup>86</sup> East Asia Forum: <u>http://www.eastasiaforum.org/</u>

*Treaty of Amity and Cooperation (TAC)*, Congressional Research Service, May 5, 2009. <sup>84</sup> Interview with Takashi Terada, June 2009.

<sup>&</sup>lt;sup>85</sup> See his statement at the Shangri-La Dialogue, 29 May 2009, on <u>http://www.iiss.org/conferences/the-shangri-la-dialogue/shangri-la-dialogue-2009/</u>

<sup>&</sup>lt;sup>87</sup> Peter Drysdale, "The Asia Pacific Community, Processes, not principles: the base for regional architecture", *East Asia Forum*, 19 June 2008.

<sup>&</sup>lt;sup>88</sup> Akiko Fukushima, « Japan's Perspective on Asian Regionalism », pp. 103-127, in Michael J. Green, Bates Gill eds., *Asia's New Multilateralism*, Columbia University Press, 2009, 384 pages, p. 113-114.

p. 113-114. <sup>89</sup> Aurelia George Mulgan, "Breaking the Mould: Japan's Subtle Shift from Exclusive Bilateralism to Modest Minilateralism", *Contemporary Southeast Asia*, 30-1, 2008, pp. 25-72, p. 64.

<sup>&</sup>lt;sup>90</sup> For example, see C. Raja Mohan, "India's Great power Burdens", *Seminar*, January 2008. Also, Interview with Jabin Jacob from IPCS on 24 June 2009. Jacob has been identified by the

have to redefine its diplomacy in the years to come. For the moment, India will surely not emphasize liberal values so as to launch an overt contest aimed at marginalizing or countering China, but will probably seek to employ Asian cultural norms so as to promote its own profile and influence within the broad Asian region.

A third set of conceptual visions has recently emerged, following on the heels of prewar concept of a united Asia, based on anti-westernism and the cultural characteristics of the region, and the 1990s emphasis on "Asian values" aimed at assigning priority to economic development - hence the Asian economic miracle - over so-called (implicitly "western") universal human rights. While it recognizes the originality of Asian culture and values, this newconcept also fully includes universal values and norms like democracy, which are deemed suitable for Asia.<sup>91</sup> This third wave of regionalist values is called "Neo-Asian Values".<sup>92</sup> This new approach is consistent with the Japanese and Indian visions of East Asian regionalism.

Governments emphasizeon the conceptual ideal of "community" while they are hedging one against another in Asia. The fluid balance of power in Asia dictates that mutual suspicion and pragmatic behaviour will remain characteristic features of the region's political landscape. While India and Japan share interests, they do not assign a high priority to their bilateral relationship, compared to their partnerships with China and the U.S. The Japan-India partnership is an interesting element in the current evolution of the Great Game in Asia, especially when there could be some prospects for a future concert of powers. However, it is not yet strong enough to shape significantly the course of international relations in Asia and is still very much dependent upon the U.S. and China factors.<sup>93</sup>

# Conclusion

Japan and India adopt a functionalist approach toward East Asian regionalism: their aim is to preserve peace and prosperity in the region and build a free-trade zone in order to sustain economic growth and promote Asian stability. At the same time, they support a broader vision of East Asia, from India to Australia, in order to encourage multipolarity in the region and create a favorable context to advance their national interests. From a constructivist point of view, the Japan-Indian vision of a Greater Asia, which draws upon a common pre-war reflection on Asian identity, could be persuasive and relevant for current regionalism, especially in the context of a blurred or unarticulated Chinese vision.<sup>94</sup> Finally, from a realist point of view, Japan-India rapprochement cannot

French MOFA as a "personnalité d'avenir" (young leader). <sup>91</sup> See Fumitaka Furuoka, Beatrice Lim Fui Yee and Roslinah Mahmud, « Japan and Asian Values: A Challenges for Japan's East Asian Policy in the New Century », eastasia.at, 5-1, September 2006 and Kazuo Ogura, "Toward a New Concept of Asia – Asia should contribute to global values", Internationale Politik, winter 2007.

Fumitaka Furuoka, Betrice Lim Fui Yee and Roslinah Mahmud, Op.Cit.

<sup>&</sup>lt;sup>93</sup> A loss of interest on the part of the Obama administration toward India and warmer relations with China could lead Tokyo to push its partnership with India into the background.

<sup>&</sup>lt;sup>94</sup> David Camroux, Op. Cit., p. 563. For the details of the Chinese vision, see Wu Xinbo, "Chinese Perspectives on Building an East Asian Community in the Twenty-first Century", in

feasibly represent a new axis against China, even if the balancing motive is present.

However, this nascent partnership is still dependent upon the international context and is not yet in a position to shape the system to any significant degree. Also, the relationship suffers from the gap between Indian's political will to draw nearer to Japan as part of a strategy to become a central player on the Asian scene, and Japan's interest in engaging with India, although this is consistent with its strategic priority of balancing against China.

Despite these limitations, the Japan-India partnership is significant in that it strengthened the third leg of the China-Japan-India triangle in Asia. Their historical experience in dealing with the themes of Asian identity and regionalism in the prewar period could form the basis for allowing the two countries to make a significant contribution to the construction of a new East Asian identity and community.

Michael J. Green, Bates Gill eds., *Asia's New Multilateralism*, Columbia University Press, 2009, 384 pages, pp. 55-77.

# ANALYSIS OF JAPAN-INDIAN JOINT STATEMENTS AND (SOME) JAPANESE AND INDIAN DIPLOMATIC SPEECHES ON BILATERAL RELATION

Quotations of references to « Asia », regional organisation in Asia, cultural and historical references in bilateral relations, the ultimate objectives of Japan and India in the region, and the common values of the partners.

« Asia »	Regional organization	Historical and cultural references	Ultimate objective in the region	Common values
JOINT DECLAR	ATIONS		1	1
Japan-India Joint	t declaration, 10 December 2001			
Asia		Tradition of profound interchanges from time immemorial ; The wisdom to benefit from the distinctive characteristics of their civilizations and	Contribute towards the stability and prosperity of Asia.	Democracy and market economy, spirit of tolerance, receptivity to diversity.
		cultures.		
Japan-India Sum	mit Meeting (Summary), 29 November	2004		
	To find a way to cope with the "arc of instability" and cooperating with a view to realize an "arc of advantage" which was referred to by Prime Minister Singh.		Stability and economic development; invigorate current dynamism in Asia	
Japan-India Parti	nership in a New Asian Era: Strategic (	<b>Orientation of Japan-India</b>	Global Partnership, 29 Ap	ril 2005
The New Asian e emerging as the leading growth centre of the glob	era: A new surge: strengthening of economic linkages, initiatives for greater regional integration as well		To realize an East Asian Community and work together to promote the vision of an Asian	Nations sharing common values and principles

economy.	Need concerted efforts to translate		economic Community as	
	these developments into an "Arc of		an "Arc of Advantage and	
	Advantage and Prosperity".		Prosperity". The Japanese	
			side conveyed its decision	
			to support India's	
			membership in the East	
			Asian Summit.	
Joint Statement,	Towards Japan-India Strategic and Glo	bal Partnership, 15 Decem	ber 2006	
	While acknowledging the ASEAN	Japan and India share	The progressive	India-Japan relation is
	as the driving force [], they	ancient bonds and a proud	realisation of an East	rooted in similar
	reaffirm the need for all 16 EAS	civilisational heritage.	Asian community in the	perception of the
	countries to fully participate and	Relation unencumbered by	EAS framework.	international
	actively contribute to the objective	any historical differences.	To pursue a	environment,
	of closer cooperation and		comprehensive	converging long-term
	community building in the region.		partnership [] in open	interests and common
	Further promote regional		and cooperative regional	commitment to
	economic integration: recall their		frameworks.	democracy, open
	respective proposals for a Pan			society, human rights,
	Asian Free Trade Area and for a			rule of law and free
	Comprehensive economic			market economy.
	Partnership in East Asia.			They are natural
	The two leaders share the view on			partners as the largest
	the usefulness of having dialogue			and most developed
	among Japan, India and other like-			democracies of Asia.
	minded countries in the Asia-			
	Pacific region on themes of mutual			
	interest.			
Joint press Relea	se, Visit of External Affairs Minister of	India to Japan, 22 March 2		
			To foster the EAS as a	The Ministers reaffirm
			pillar of East Asian	that there exist common

	community building in the future.	objectives and values between the idea of "Arc of Freedom and Prosperity" proposed by Japan and the idea of "Arc of Advantage and
		Prosperity" proposed by India.
Joint Statement on the Roadmap for New Dimensions t 2007	o the Strategic and Global partnership between Japan	
The partnership between Japan an India [] is an essential pillar for the future architecture of the entire region. Japan and India should actively cooperate to promote multi-layere frameworks and dialogues for regional cooperation in Asia. They shared the view on the usefulness of having dialogues among Japan, India and other like minded countries in the Asia- Pacific region on themes of mutua interest.	community in the future. The EAS can essentially contribute in the process of community building based on universally recognised values and in enhancing the role of the region at the global level.	Japan and India share universal values of democracy, open society, human rights, rule of law and market economy and share common interest in promoting peace, stability and prosperity in Asia and in the world.
Joint Statement on the Advancement of the Strategic a	nd Global Partnership between Japan and India, 22 Oc	tober 2008
Support to the EAS as an open, inclusive, transparent and Leaders led forum [] to deepen regional economic integration towards the progressive realization of an East	Promoting peace, stability and prosperity in Asia and the world	India and Japan share common values and interests

Γ		Asia Community.			
	Joint declaration on S	ecurity Cooperation between Japa	n and India, 22 October 20	008	<u> </u>
				Bilateral cooperation	Common commitment
				within multilateral	to democracy, open
				frameworks in Asia, in	society, human rights,
				particular the East Asia	rule of law.
				Summit, ASEAN	
				Regional Forum and	
				ReCAAP processes.	
J	APAN AND INDIAN	DIPLOMATIC SPEECHES ON	BILATERAL RELATION	<u>\$</u>	
A		i Enoki, "The Japan-India partner	ship", lecture at USI on 28	May 2004	
	It is time for Japan	A network of FTA, with ASEAN	No negative history. Only	Tripartite dialogue	
	to position India	as the hub in the region. Japan's	positive historic	between Japan, China and	
	from "a local	Asia policy should be structured	memories: interchange	India.	
	power" to "one of	around two vectors, namely: North	between Tagore and		
	the three major	to South "East Asia cooperation"	Okakura Tenshin, Subhas		
	powers of Asia".	and East to West "cooperation	Chandra Bose, the great		
	The scope of Asia	among Japan, China and India".	anecdote of the Judge Pal.		
	for Japan has also	Three great Asian powers to foster			
	undergone a change:	stability and peace in the region.			
	from East Asia (until	Bad historical memories are			
	Arakan mountains in	counterbalanced by good historic			
	Myanmar) to "entire	relations between Japan and India.			
	Asia (including	> Tripartite dialogue between			
1	South Asia and	Japan, China and India.			
1	India) Because :				
1	economic				
	globalization,				

regional security     issue (proliferation     from DPRK to     Pakistan) and     Japan's security     interest (maritime     route, intervention in     Indian ocean)			
New Asian era	'age-old spiritual, cultural and civilizational ties ; India refused to attend San Francisco peace conference in 1951 ; separate peace treaty with Japan in 1952 ; India waived all reparation claims ; dissenting judgement Pal (affection and regard) ; quotation of Okakura Tenshin.	To renew and reinvigorate our Asian identity, building on the commonalities of our interests, aspirations and values.	Shared commitments to the ideals of democracy, peace and freedom. India hope to imbibe Japanese values of maintenance of harmony and balance between social traditions and economic modernization.
Prime minister Singh, Speech to the Japanese Diet, 15 De	ecember 2006.		1
	Civilizational neighbours; heritage of Buddhism, Bodhisena, Tagore, Okakura Tenshin; judgement of Pal.	"arc of advantage and prosperity"; Asian economic community	As the largest and most developed democracies of Asia.
Shri Shyam Saran, special envoy of the prime minister in	n JIIA, 15 January 2007		We are democracies, we
			have respect for the

			same human values and
			history of a very benign
			and very positive
			relationship.
Address by the External Affairs Minist	er, Sri Pranab Muukherjee, at the JIIA on	23 March 2007	
	Heritage of Buddhism	A pan-Asian freetrade	Common values of
		area or comprehensive	democracy, human
		economic partnership in	rights and the rule of
		Asia	law
Ambassador Ronen Sen's address at th	e CIIS-JIIA conference "Building strategic	: Asia – The United States, J	apan and India", June
8, 2007.			
The old links		Trilateral cooperation:	
between India and		India, the U.S. and Japan	
the Asia-Pacific		have shared values and	
region are now		aspirations of democracies	
being revived and		based on the rule of law.	
revitalized.		Also recognize that	
		democracy and	
		development are not just	
		compatible but	
		inextricably linked.	
		It is thus evident that the	
		trilateral interaction of	
		India, the United States	
		and Japan did not emerge	
		from a decision to forge a	
		new grouping or alliance.	
'Confluence of the two seas", Speech b	y Shinzo Abe, Prime Minister of Japan, at		lic of India, August 22,
2007		I and	, , ,

	"The Pacific and the	He began his speech with	A broader Asia takes	A discovery of India as
	Indian Oceans are	a quotation of	shape at the confluence of	a partner that shares the
	now bringing about	Vivekananda.	the two seas of the Indian	same values and
	a dynamic coupling	Okakura ; Pal; Chandra	and Pacific Oceans;	interests. Spirit of
	as seas of freedom	Bose, Tagore. Ashoka,	Open and transparent, this	tolerance in India;
	and of prosperity. A	Gandhi. Kishi, first	network will allow people,	sacrality of nature.
	"broader Asia": an	Japanese PM who visited	goods, capital and	5
	immense network	India.	knowledge to flow freely.	
	spanning the entirety			
	of the Pacific Ocean,			
	incorporating the			
	United-States of			
	America and			
	Australia.			
١	When the Pacific Ocean Becomes an "Inland Sea", Yasu	o Fukuda, Prime Minister,	22 May 2008	
	Pacific Ocean as an		India will become one of	
	Inland Sea for:		the pillars supporting the	
	Japan, ASEAN,		future of Asia.	
	North and South			
	America, and			
	Russia. China and			
	Australia, and new			
	Zealand. "And in			
	my view this sea			
	also continues			
	beyond India to			
	connect to the			
	nations of middle			
	east"			
	New Japan-China,			

Jap	pan-ROK		
Re	elations		